

2011

(PRBRSA FY 2012)

PEQUANNOCK RIVER BASIN REGIONAL SEWERAGE AUTHORITY

# Authority Budget

Department Of



Community  
**Affairs**

Division of Local Government Services

**2011**  
(PRBRSA FY 2012)

**Pequannock River Basin Regional Sewerage Authority**  
(Name)

**AUTHORITY BUDGET**

**FISCAL YEAR: FROM November 1, 2011 TO October 31, 2012**

*For Division Use Only*

**CERTIFICATION OF APPROVED BUDGET**

*It is hereby certified that the approved Budget made a part hereof complies with the requirements of law and the rules and regulations of the Local Finance Board, and approval is given pursuant to N.J.S. 40A:5A-11.*

*State of New Jersey  
Department of Community Affairs  
Director of the Division of Local Government Services*

By:  Date: 11/17/2011

**CERTIFICATION OF ADOPTED BUDGET**

*It is hereby certified that the adopted Budget made a part hereof has been compared with the approved Budget previously certified by the Division, and any amendments made thereto. This adopted Budget is certified with respect to such amendments and comparisons only.*

*State of New Jersey  
Department of Community Affairs  
Director of the Division of Local Government Services*

By:  Date: 11/17/2011

# 2011 PREPARER'S CERTIFICATION (PRBRSA FY 2012)

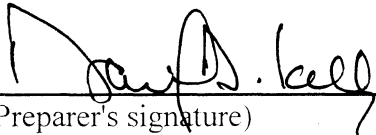
**Pequannock River Basin Regional Sewerage Authority**  
(Name)

## AUTHORITY BUDGET

**FISCAL YEAR: FROM November 1, 2011 TO October 31, 2012**

It is hereby certified that the Authority Budget, including both the Annual Budget and the Capital Budget/Program annexed hereto, represents the members of the governing body's resolve with respect to statute in that: all estimates of revenue are reasonable, accurate and correctly stated, all items of appropriation are properly set forth and in itemization, form and content, the budget will permit the exercise of the comptroller function within the Authority.

It is further certified that all proposed budgeted amounts and totals are correct. Also, I hereby provide reasonable assurance that all assertions contained herein are accurate and all Supplemental Schedules required are completed and attached.

  
(Preparer's signature)

**Daniel D. Kelly, P.E.**  
(Print Name)

**Consulting Engineer**  
(Title)

**P.O. Box 509, 4 Village Road**  
(Address)

**New Vernon, NJ 07976**  
(City, State, Zip Code)

**(973) 285-9058**      **/(973) 285-0944**  
(Phone number)      (Fax number)

**kellyengineeringnj@gmail.com**  
(Email Address)

# 2011 APPROVAL CERTIFICATION (PRBRSA FY 2012)

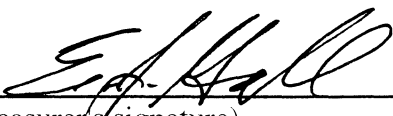
**Pequannock River Basin Regional Sewerage Authority**  
(Name)

## AUTHORITY BUDGET

**FISCAL YEAR: FROM November 1, 2011 TO October 31, 2012**

It is hereby certified that the Authority Budget, including Supplemental Schedules appended hereto, are a true copy of the Annual Budget and Capital Budget/Program approved by resolution by the governing body of the Pequannock River Basin Regional Sewerage Authority, at an open public meeting held pursuant to N.J.A.C. 5:31-2.3, on the 28<sup>th</sup> day of September, 2011.

It is further certified that the recorded vote appearing in the resolution represents a not less than a majority of the full membership of the governing body thereof.

  
(Treasurer's signature)

**E.J. Gall**  
(Print Name)

**Treasurer**  
(Title)

**One Ace Road**  
(Address)

**Butler, NJ 07405**  
(City, State, Zip Code)

**(973) 285-9058**      **/(973) 285-0944**  
(Phone number)      (Fax number)

**kellyengineeringnj@gmail.com**  
(Email Address)

# AUTHORITY INFORMATION SHEET

## 2011

### (PRBRSA FY 2012)

Please complete the following information regarding this Authority:

<b>Name of Authority:</b>	Pequannock River Basin Regional Sewerage Authority		
Address:	Municipal Building One Ace Road		
City, State, Zip:	Butler	NJ	07405
Phone:	973-285-9058	Fax:	973-285-0944

<b>Preparer's Name:</b>	Daniel D. Kelly, P.E.		
Preparer's Address:	4 Village Road PO Box 509		
City, State, Zip:	New Vernon	NJ	07976
Phone:	973-285-9058	Fax:	973-285-0944

<b>Chief Executive Officer:</b>			
Phone:		Fax:	
E-mail:			

<b>Chief Financial Officer:</b>			
Phone:		Fax:	
E-mail:			

<b>Name of Auditor:</b>	Paul J. Cuva, CPA		
Name of Firm:	Ferraioli, Wiekotz, Cerullo & Cuva		
Address:	401 Wanaque Avenue		
City, State, Zip:	Pompton Lakes	NJ	07442
Phone:	973-835-8900	Fax:	973-835-6631
E-mail:	<a href="mailto:Paulcuv@optonline.net">Paulcuv@optonline.net</a>		

Membership of Board of Commissioners (Full Name)	Title
Robert Voorman	Chairman
Raymond Verdonik	Vice Chairman
E.J. Gall	Treasurer
Edwin Howard	Secretary
James P. Lampmann	Commissioner
Paul Metcalfe	Commissioner

## 2010 Authority Budget Resolution (PRBRSA FY 2012)

### Pequannock River Basin Regional Sewerage Authority (Name)

**FISCAL YEAR: FROM November 1, 2011 TO October 31, 2012**

WHEREAS, the Annual Budget and Capital Budget for the Pequannock River Basin Regional Sewerage Authority for the fiscal year beginning, November 1, 2011 and ending, October 31, 2012 has been presented before the governing body of the Pequannock River Basin Regional Sewerage Authority at its open public meeting of September 28, 2011; and

WHEREAS, the Annual Budget as introduced reflects Total Revenues of \$5,595,000, Total Appropriations, including any Accumulated Deficit if any, of \$5,595,000 and Total Unrestricted Net Assets utilized of \$0; and

WHEREAS, the Capital Budget as introduced reflects Total Capital Appropriations of \$1,500,000 and Total Unrestricted Net Assets planned to be utilized as funding thereof, of \$325,000; and

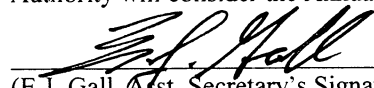
WHEREAS, the schedule of rates, fees and other charges in effect will produce sufficient revenues, together with all other anticipated revenues to satisfy all obligations to the holders of bonds of the Authority, to meet operating expenses, capital outlays, debt service requirements, and to provide for such reserves, all as may be required by law, regulation or terms of contracts and agreements; and

WHEREAS, the Capital Budget/Program, pursuant to N.J.A.C. 5:31-2, does not confer any authorization to raise or expend funds; rather it is a document to be used as part of the said Authority's planning and management objectives. Specific authorization to expend funds for the purposes described in this section of the budget, must be granted elsewhere; by bond resolution, by a project financing agreement, by resolution appropriating funds from the Renewal and Replacement Reserve or other means provided by law.

NOW, THEREFORE BE IT RESOLVED, by the governing body of the Pequannock River Basin Regional Sewerage Authority, at an open public meeting held on September 28, 2011 that the Annual Budget, including appended Supplemental Schedules, and the Capital Budget/Program of the Pequannock River Basin Regional Sewerage Authority for the fiscal year beginning, November 1, 2011 and ending, October 31, 2012 is hereby approved; and

BE IT FURTHER RESOLVED, that the anticipated revenues as reflected in the Annual Budget are of sufficient amount to meet all proposed expenditures/expenses and all covenants, terms and provisions as stipulated in the said Authority's outstanding debt obligations, capital lease arrangements, service contracts, and other pledged agreements; and

BE IT FURTHER RESOLVED, that the governing body of the Pequannock River Basin Regional Sewerage Authority will consider the Annual Budget and Capital Budget/Program for adoption on October 19, 2011

  
(E.J. Gall, Asst. Secretary's Signature)

September 28, 2011  
(Date)

Governing Body	Recorded Vote				
Member:	Aye	Nay	Abstain	Absent	
Gall	x				
Howard	x				
Lampmann	x				
Metcalf	x				
Verdonik	x				
Voorman	x				

None None None

# 2010 Authority Budget Resolution (PRBRSA FY 2012)

*Superseded*

## Pequannock River Basin Regional Sewerage Authority

(Name)

**FISCAL YEAR: FROM November 1, 2011 TO October 31, 2012**

WHEREAS, the Annual Budget and Capital Budget for the Pequannock River Basin Regional Sewerage Authority for the fiscal year beginning, November 1, 2011 and ending, October 31, 2012 has been presented before the governing body of the Pequannock River Basin Regional Sewerage Authority at its open public meeting of August 17, 2011; and

WHEREAS, the Annual Budget as introduced reflects Total Revenues of \$5,595,000, Total Appropriations, including any Accumulated Deficit if any, of \$5,625,000 and Total Unrestricted Net Assets utilized of \$30,000; and

WHEREAS, the Capital Budget as introduced reflects Total Capital Appropriations of \$125,000 and Total Unrestricted Net Assets planned to be utilized as funding thereof, of \$0; and

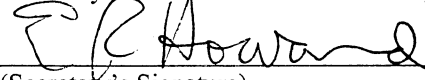
WHEREAS, the schedule of rates, fees and other charges in effect will produce sufficient revenues, together with all other anticipated revenues to satisfy all obligations to the holders of bonds of the Authority, to meet operating expenses, capital outlays, debt service requirements, and to provide for such reserves, all as may be required by law, regulation or terms of contracts and agreements; and

WHEREAS, the Capital Budget/Program, pursuant to N.J.A.C. 5:31-2, does not confer any authorization to raise or expend funds; rather it is a document to be used as part of the said Authority's planning and management objectives. Specific authorization to expend funds for the purposes described in this section of the budget, must be granted elsewhere; by bond resolution, by a project financing agreement, by resolution appropriating funds from the Renewal and Replacement Reserve or other means provided by law.

NOW, THEREFORE BE IT RESOLVED, by the governing body of the Pequannock River Basin Regional Sewerage Authority, at an open public meeting held on August 17, 2011 that the Annual Budget, including appended Supplemental Schedules, and the Capital Budget/Program of the Pequannock River Basin Regional Sewerage Authority for the fiscal year beginning, November 1, 2011 and ending, October 31, 2012 is hereby approved; and

BE IT FURTHER RESOLVED, that the anticipated revenues as reflected in the Annual Budget are of sufficient amount to meet all proposed expenditures/expenses and all covenants, terms and provisions as stipulated in the said Authority's outstanding debt obligations, capital lease arrangements, service contracts, and other pledged agreements; and

BE IT FURTHER RESOLVED, that the governing body of the Pequannock River Basin Regional Sewerage Authority will consider the Annual Budget and Capital Budget/Program for adoption on October 19, 2011.

  
(Secretary's Signature)

8/17/11

(Date)

Edward Howard, Secretary  
Governing Body

Member:	Aye	Nay	Abstain	Absent
Gall	X			
Howard				X
Lampmann		X		
Metcalfe	X			
Verdonik		X		
Voorman	X			

# **BUDGET MESSAGE 2011 (PRBRSA FY 2012)**

## **Pequannock River Basin Regional Sewerage Authority**

### **AUTHORITY BUDGET**

**FISCAL YEAR: FROM November 1, 2011 TO October 31, 2012**

**1. Complete a brief statement on the Pequannock River Basin Regional Sewerage Authority's proposed Annual Budget and make comparison to the current year's adopted budget. Explain significant increases or decreases, if any.**

The 2011 (PRBRSA FY 2012) Annual Budget proposes a 1.7% increase in revenues and appropriations over the prior year. The budget reflects a 2.0% rate increase to the municipalities (i.e., Annual Charges) increasing from \$4,825,000 to \$4,920,000.

**2. Complete a brief statement on the impact the proposed Annual Budget will have on Anticipated Revenues, especially service charges and on the general purpose/component unit financial statements. Explain significant increases or decreases, if any.**

As indicated in (1) above, the budget reflects a 2.0% increase in Annual Charges over the prior year. Although the rate increase is uniform for the four towns served by PRBRSA, due to differences in the service contract cost allocations and in the consumptive use of each municipality (i.e., projected sewage flows), the budget reflects the following changes from the prior year: Bloomingdale: 1.9%, Butler: 1.7%, Kinnelon: 2.4%, and Riverdale: 2.3%).

**3. Describe the state of the local/regional economy and how it may impact the proposed Annual Budget, including the planned Capital Budget/Program.**

The local economies, particularly that of the Borough of Bloomingdale, continue to experience budget stress.

**4. Describe the reasons for utilizing Unrestricted Net Assets in the proposed Annual Budget, i.e. rate stabilization, debt service reduction, to balance the budget, etc.**

Not applicable

**5. If the proposed Annual Budget contains an Accumulated Deficit either existing or anticipated, pursuant to N.J.S.A 40A:5A-12, then an explanation as to reasons for occurrence must be disclosed.**

Not applicable



**2011**  
(PRBRSA FY 2012)  
**AUTHORITY BUDGET**

Sewer  
(OPERATION)

PEQUANNOCK RIVER BASIN REGIONAL SEWERAGE AUTHORITY

FISCAL YEAR: FROM November 1, 2011 TO October 31, 2012

---ANTICIPATED REVENUES---

OPERATING REVENUES -----	CROSS REF. -----	(FY 2011) 2011 PROPOSED BUDGET -----	(FY 2011) 2010 CURRENT YEAR'S ADOPTED BUDGET -----	
SERVICE CHARGES	* A-1 *	\$4,920,000	*	\$4,825,000 *
CONNECTION FEES	* A-2 *		*	*
PARKING FEES	* A-3 *		*	*
OTHER OPERATING REVENUES	* A-4 *		*	*
<b>TOTAL OPERATING REVENUES</b>	<b>* R-1 *</b>	<b>\$4,920,000</b>	<b>*</b>	<b>\$4,825,000 *</b>
NON-OPERATING REVENUES -----	CROSS REF. -----	(FY 2012) 2011 PROPOSED BUDGET -----	(FY 2011) 2010 CURRENT YEAR'S ADOPTED BUDGET -----	
OPERATING GRANTS & ENTITLEMENTS	* A-5 *		*	*
LOCAL SUBSIDIES & DONATIONS	* A-6 *		*	*
INTEREST ON INVESTMENTS AND DEPOSITS	* A-7 *	\$56,000	*	\$60,000 *
OTHER NON-OPERATING REVENUES	* A-8 *	\$619,000	*	\$615,000 *
<b>TOTAL NON-OPERATING REVENUES</b>	<b>* R-2 *</b>	<b>\$675,000</b>	<b>*</b>	<b>\$675,000 *</b>
<b>TOTAL ANTICIPATED REVENUES</b> (R-1 + R-2)	<b>* B-1 *</b>	<b>\$5,595,000</b> =====	<b>*</b>	<b>\$5,500,000</b> ===== *

**2011**  
(PRBRSA FY 2012)  
**AUTHORITY BUDGET**

Sewer  
(OPERATION)

PEQUANNOCK RIVER BASIN REGIONAL SEWERAGE AUTHORITY

FISCAL YEAR: FROM November 1, 2011 TO October 31, 2012

---BUDGETED APPROPRIATIONS---

--OPERATING APPROPRIATIONS-- -----			(FY 2012) 2011 PROPOSED BUDGET		(FY 2011) 2010 CURRENT YEAR'S ADOPTED BUDGET
ADMINISTRATION -----	CROSS REF. -----				
SALARY & WAGES	*	*		*	*
FRINGE BENEFITS	*	*		*	*
OTHER EXPENSES	*	*	\$304,000	*	\$303,500 *
<b>TOTAL ADMINISTRATION</b>	*	<b>E-1</b> *	<u>\$304,000</u>	*	<u>\$303,500</u> *
COST OF PROVIDING SERVICES -----	CROSS REF. -----		(FY 2012) 2011 PROPOSED BUDGET		(FY 2011) 2010 CURRENT YEAR'S ADOPTED BUDGET
SALARY & WAGES	*	*		*	*
FRINGE BENEFITS	*	*		*	*
OTHER EXPENSES	*	*	\$2,599,089	*	\$2,786,037 *
<b>TOTAL COST OF PROVIDING SERVICES</b>	*	<b>E-2</b> *	<u>\$2,599,089</u>	*	<u>\$2,786,037</u> *
<b>TOTAL PRINCIPAL PAYMENTS ON DEBT SERVICE IN LIEU OF DEPRECIATION</b>	*	<b>D-1</b> *	<u>\$1,633,162</u>	*	<u>\$1,470,553</u> *
<b>TOTAL OPERATING APPROPRIATIONS (E-1 + E-2 + D-1)</b>	*	<b>B-2</b> *	<u>\$4,536,251</u> =====	*	<u>\$4,560,090</u> *

**2011**  
(PRBRSA FY 2012)  
**AUTHORITY BUDGET**

Sewer  
(OPERATION)

PEQUANNOCK RIVER BASIN REGIONAL SEWERAGE AUTHORITY

FISCAL YEAR: FROM November 1, 2011 TO October 31, 2012

---BUDGETED APPROPRIATIONS---

--NON-OPERATING APPROPRIATIONS-- -----			(FY 2012) 2011 PROPOSED BUDGET	(FY 2011) 2010 CURRENT YEAR'S ADOPTED BUDGET
	CROSS REF. -----		-----	-----
TOTAL INTEREST PAYMENTS ON DEBT	* D-2 *		\$868,749 *	\$814,910 *
OPERATIONS & MAINTENANCE RESERVE	* *		* *	* *
RENEWAL & REPLACEMENT RESERVE(S)	* C-1 *		* *	* *
MUNICIPALITY/COUNTY APPROPRIATION	* C-2 *		* *	* *
CAPITAL RESERVE FUND		*	\$190,000 *	\$125,000 *
<b>TOTAL NON-OPERATING APPROPRIATIONS</b>	* B-3 *		\$1,058,749 *	\$939,910 *
<b>ACCUMULATED DEFICIT</b>	* B-4 *		* *	* *
<b>TOTAL OPERATING &amp; NON-OPERATING APPROPRIATIONS &amp; ACCUMULATED DEFICIT (B-2 + B-3 + B-4)</b>	* B-5 *		\$5,595,000 *	\$5,500,000 *
UNRESTRICTED NET ASSETS UTILIZED MUNICIPALITY/COUNTY APPROPRIATION *	R-3a *		* *	* *
OTHER	R-3b		-----	-----
<b>LESS : TOTAL UNRESTRICTED NET ASSETS UTILIZED (R-3A + R-3b)</b>	* R-3 *		* *	* *
<b>NET TOTAL APPROPRIATIONS (B-5 - R-3)</b>	* B-6 *		\$5,595,000 *	\$5,500,000 *
			=====	=====

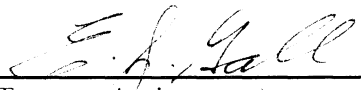
**2011 ADOPTION CERTIFICATION  
(PRBRSA FY 2012)**

**Pequannock River Basin Regional Sewerage Authority**  
(Name)

**AUTHORITY BUDGET**

**FISCAL YEAR: FROM November 1, 2011 TO October 31, 2012**

It is hereby certified that the Authority Budget and Capital Budget/Program annexed hereto is a true copy of the Budget adopted by the governing body of the Pequannock River Basin Regional Sewerage Authority, pursuant to N.J.A.C. 5:31-2.3, on the 19<sup>th</sup> day of October, 2011.

  
\_\_\_\_\_  
(Treasurer's signature)

**E.J. Gall**  
\_\_\_\_\_  
(Print Name)

**Treasurer**  
\_\_\_\_\_  
(Title)

**One Ace Road**  
\_\_\_\_\_  
(Address)

**Butler, NJ 07405**  
\_\_\_\_\_  
(City, State, Zip Code)

**(973) 285-9058**      **/(973) 285-0944**  
\_\_\_\_\_  
(Phone number)      (Fax number)

**kellyengineeringnj@gmail.com**  
\_\_\_\_\_  
(Email Address)

**2011 ADOPTED BUDGET RESOLUTION  
(PRBRSA FY 2012)**

**Pequannock River Basin Regional Sewerage Authority**  
(Name)

**FISCAL YEAR: FROM November 1, 2011 TO October 31, 2012**

WHEREAS, the Annual Budget and Capital Budget/Program for the Pequannock River Basin Regional Sewerage Authority for the fiscal year beginning November 1, 2011, and ending, October 31, 2012 has been presented for adoption before the governing body of the Pequannock River Basin Regional Sewerage Authority at its open public meeting of October 19, 2011; and

WHEREAS, the Annual Budget and Capital Budget as presented for adoption reflects each item of revenue and appropriation in the same amount and title as set forth in the introduced and approved budget, including amendments as set forth by Resolution No. R-11-10-1 as adopted by the Pequannock River Basin Regional Sewerage Authority at its open public meeting on October 19, 2011, for approval by the Director of the Division of Local Government Services; and

WHEREAS, the Annual Budget as presented for adoption reflects Total Revenues of \$5,595,000 Total Appropriations, including any Accumulated Deficit, if any, of \$5,595,000 and Total Unrestricted Net Assets utilized of \$0; and

WHEREAS, the Capital Budget as presented for adoption reflects Total Capital Appropriations of \$1,500,000 and Total Unrestricted Net Assets planned to be utilized of \$325,000; and

NOW, THEREFORE BE IT RESOLVED, by the governing body of Pequannock River Basin Regional Sewerage Authority, at an open public meeting held on October 19, 2011 that the Annual Budget and Capital Budget/Program of the Pequannock River Basin Regional Sewerage Authority for the fiscal year beginning, November 1, 2011 and, ending, October 31, 2012 is hereby adopted and shall constitute appropriations for the purposes stated; and

BE IT FURTHER RESOLVED, that the Annual Budget and Capital Budget/Program as presented for adoption reflects each item of revenue and appropriation in the same amount and title as set forth in the introduced and approved budget, including amendments as set forth by Resolution No. R-11-10-1 as adopted by the Pequannock River Basin Regional Sewerage Authority at its open public meeting on October 19, 2011, for approval by the Director of the Division of Local Government Services.

\_\_\_\_\_  
(Secretary's Signature)

\_\_\_\_\_  
(Date)

Governing Body  
Member:

Recorded Vote

Aye

Nay

Abstain

Absent

Gall

X

Howard

X

Lampmann

X

Metcalf

X

Verdonik

X

Voorman

X

None None None

2011  
(PRBRSA FY 2012)

Pequannock River Basin  
Regional Sewerage  
Authority

AUTHORITY  
CAPITAL  
BUDGET/  
PROGRAM

**2011 CERTIFICATION of AUTHORITY CAPITAL  
BUDGET/PROGRAM  
(PRBRSA FY 2012)**

**Pequannock River Basin Regional Sewerage Authority**  
(Name)

**FISCAL YEAR: FROM November 1, 2011 TO October 31, 2012**

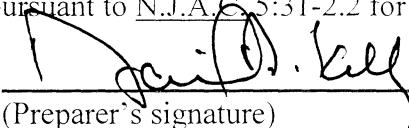
**[ X ]**

It is hereby certified that the Authority Capital Budget/Program annexed hereto is a true copy of the Capital Budget/Program approved, pursuant to N.J.A.C. 5:31-2.2, along with the Annual Budget, by the governing body of the Pequannock River Basin Regional Sewerage Authority, on the 28<sup>th</sup> day of September, 2011.

**OR**

**[ ]**

It is further certified that the governing body of the Pequannock River Basin Regional Sewerage Authority have elected **NOT** to adopt a Capital Budget /Program for the aforesaid fiscal year, pursuant to N.J.A.C. 5:31-2.2 for the following reason(s):

  
(Preparer's signature)

**Daniel D. Kelly**  
(Print Name)

**Consulting Engineer**  
(Title)

**One Ace Road**  
(Address)

**Butler, NJ 07405**  
(City, State, Zip Code)

**(973) 285-9058**      **/(973) 285-0944**  
(Phone number)      (Fax number)

**kellyengineeringnj@gmail.com**  
(Email Address)

# **2011 Capital Budget/Program Message (PRBRSA FY 2012)**

## **Pequannock River Basin Regional Sewerage Authority**

(Name)

**FISCAL YEAR: FROM November 1, 2011 TO October 31, 2012**

- 1. Has each municipality or county affected by the actions of the authority participated in the development of the capital plan and reviewed or approved the plans or projects included within the Capital Budget/Program?**

The Boonton Avenue Interceptor project is currently in development. As the project moves forward, the towns will be advised of the plan, the budget and related fiscal implications.

- 2. Has each capital project/project financing been developed from a specific capital improvements plan or report; does it include full lifecycle costs, and is it consistent with appropriate elements of Master Plans or other plans in the jurisdictions served by the authority?**

Yes, from the Authority's overall plan to upgrade the aging infrastructure that it acquired from Bloomingdale and Butler in 1987

- 3. Has a long-term (10-20 years) infrastructure needs assessment or other capital plan with a horizon beyond six years been prepared?**

A needs assessment is currently underway in conjunction with the four municipalities and the Two Bridges Sewerage Authority.

- 4. Describe the projected impacts of the proposed capital projects, including impact on the schedule of rates, fees, and service charges and the impact on current and future year's schedules.**

The FY 2012 capital budget proposes approximately a \$187,000 annual increase in the current level of debt. Since current debt service will decline by more than the proposed debt service, the project will have minimal effect on future rates.

- 5. Please indicate which capital projects/project financings are being undertaken in the Metropolitan or Suburban Planning Areas as defined in the State Development and Redevelopment Plan.**

Not applicable.

- 6. Please indicate which capital projects/project financings are being undertaken within the boundary of a State Planning Commission-designated Center and/or Endorsed Plan and if the project was included in the Plan Implementation Agenda for that Center/Endorsed Plan?**

Not applicable.

**Add additional sheets if necessary.**



**Sewer**  
**(OPERATION)**

## PROPOSED YEAR'S CAPITAL IMPROVEMENT PLAN

<sup>1</sup> Restricted Net Assets (Construction Funds)

**2011**  
 (PRBRSA FY 2012) Sewer  
**AUTHORITY CAPITAL PROGRAM** (OPERATION)

PEQUANNOCK RIVER BASIN REGIONAL SEWERAGE AUTHORITY

FISCAL YEAR: FROM November 1, 2011 TO October 31, 2012

5 YEAR CAPITAL IMPROVEMENT PLAN COSTS

PROJECTS	ESTIMATED TOTAL COST	(FY 2012) 2011	(FY 2013) 2012	(FY 2014) 2013	(FY 2015) 2014	(FY 2016) 2015
	\$1,800,000					
A Boonton Ave. Interceptor Project		\$1,500,000				
B Miscellaneous PRBRSA Interceptor Improvements			\$150,000	\$50,000	\$50,000	\$50,000
<b>TOTAL</b>	<b>\$1,800,000</b>	<b>\$1,500,000</b>	<b>\$150,000</b>	<b>\$50,000</b>	<b>\$50,000</b>	<b>\$50,000</b>

**2011**  
(PRBRSA FY 2012)  
**AUTHORITY CAPITAL PROGRAM**

Sewer  
(OPERATION)

PEQUANNOCK RIVER BASIN REGIONAL SEWERAGE AUTHORITY

FISCAL YEAR: FROM November 1, 2011 TO October 31, 2012

5 YEAR CAPITAL PLAN FUNDING SOURCES: From Year \_\_2011\_\_ to Year \_2015

PROJECTS	ESTIMATED TOTAL COST	-----FUNDING SOURCES-----			
		UNRESTRICTED NET ASSETS	RENEWAL & REPLACEMENT RESERVE	DEBT AUTHORIZATION	OTHER <sup>1</sup> SOURCES
A Bocnton Ave. Interceptor Improve.	\$1,800,000	\$325,000		\$1,000,000	\$175,000
B Miscellaneous PRERSA Interceptor Improvements		\$300,000			
<b>TOTAL</b>	<b>\$1,800,000</b>	<b>\$625,000</b>		<b>\$1,000,000</b>	<b>\$175,000</b>

<sup>1</sup> Construction Fund (Restricted Assets)

2011

(PRBRSA FY 2012)

Pequannock River Basin Regional  
Sewerage Authority

AUTHORITY  
SUPPLEMENTAL SCHEDULES

STATE OF NEW JERSEY

DIVISION OF LOCAL GOVERNMENT SERVICES

**2011**  
(PRBRSA FY 2012)  
**AUTHORITY BUDGET**  
SUPPLEMENTAL SCHEDULES

Sewer  
(OPERATION)

PEQUANNOCK RIVER BASIN REGIONAL SEWERAGE AUTHORITY

FISCAL YEAR: FROM November 1, 2011 TO October 31, 2012

===== OPERATING REVENUES =====					
		(FY 2012)		(FY 2011)	
		2011		2010	
----SERVICE CHARGES----		PROPOSED		CURRENT YEAR'S	
	CROSS	#	ANNUAL	#	ADOPTED
	REF.	UNITS	COLLECTION	UNITS	BUDGET
RESIDENTIAL	*	*		*	*
BUSINESS/COMMERCIAL	*	*		*	*
INDUSTRIAL	*	*		*	*
INTERGOVERNMENTAL	*	*	\$4,920,000	*	\$4,825,000
OTHER	*	*		*	*
<b>TOTAL SERVICE CHARGES</b>	<b>*</b>	<b>A-1</b>	<b>\$4,920,000</b>	<b>*</b>	<b>\$4,825,000</b>
=====					
		(FY 2012)		(FY 2011)	
		2011		2010	
----CONNECTION FEES----		PROPOSED		CURRENT YEAR'S	
	CROSS	#	ANNUAL	#	ADOPTED
	REF.	UNITS	COLLECTION	UNITS	BUDGET
RESIDENTIAL	*	*		*	*
BUSINESS/COMMERCIAL	*	*		*	*
INDUSTRIAL	*	*		*	*
INTERGOVERNMENTAL	*	*		*	*
OTHER	*	*		*	*
<b>TOTAL CONNECTION FEES</b>	<b>*</b>	<b>A-2</b>		<b>*</b>	<b>*</b>
=====					

\* INCLUDE ALL APPLICABLE RATE SCHEDULES TO SUPPORT SUCH FEES

**2011**  
(PRBRSA FY 2012)  
**AUTHORITY BUDGET**  
SUPPLEMENTAL SCHEDULES

Sewer  
(OPERATION)

PEQUANNOCK RIVER BASIN REGIONAL SEWERAGE AUTHORITY

FISCAL YEAR: FROM November 1, 2011 TO October 31, 2012

===== OPERATING REVENUES =====					
		(FY 2012)		(FY 2011)	
		2011		2010	
----	PARKING FEES----	CROSS	#	PROPOSED	#
		REF.	UNITS	ANNUAL	UNITS
				COLLECTION	
METERS	*	*			*
PERMITS	*	*			*
FINES/PENALTIES	*	*			*
OTHER	*	*			*
TOTAL PARKING FEES	*	A-3	*		*
=====					
		(FY 2012)		(FY 2011)	
		2011		2010	
---	OTHER OPERATING REVENUES---	CROSS		PROPOSED	
		REF.		ANNUAL	
				COLLECTION	
LIST IN DETAIL:	*	*			*
	*	*			*
	*	*			*
	*	*			*
	*	*			*
TOTAL OTHER REVENUES	*	A-4	*		*
=====					

\* INCLUDE ALL APPLICABLE RATE SCHEDULES TO SUPPORT SUCH FEES

**2011**  
(PRBRSA FY 2012)  
**AUTHORITY BUDGET**  
SUPPLEMENTAL SCHEDULES

Sewer  
(OPERATION)

PEQUANNOCK RIVER BASIN REGIONAL SEWERAGE AUTHORITY

FISCAL YEAR: FROM November 1, 2011 TO October 31, 2012

===== NON-OPERATING REVENUES =====

---GRANTS &--- ---ENTITLEMENTS---	CROSS REF.	(FY 2012) 2011 PROPOSED BUDGET	(FY 2011) 2010 CURRENT YEAR'S ADOPTED BUDGET
LIST IN DETAIL:	-----	-----	-----
	*    *		*    *
	*    *		*    *
	*    *		*    *
	*    *		*    *
	-----	-----	-----
TOTAL GRANTS & ENT.	*    A-5   *	=====	=====

---LOCAL SUBSIDIES--- ---& DONATIONS---	CROSS REF.	(FY 2012) 2011 PROPOSED BUDGET	(FY 2011) 2010 CURRENT YEAR'S ADOPTED BUDGET
LIST IN DETAIL:	-----	-----	-----
	*    *		*    *
	*    *		*    *
	*    *		*    *
	*    *		*    *
	-----	-----	-----
TOTAL SUB. & DONATIONS	*    A-6   *	=====	=====

**2011**  
(PRBRSA FY 2011)  
**AUTHORITY BUDGET**  
SUPPLEMENTAL SCHEDULES

Sewer  
(OPERATION)

PEQUANNOCK RIVER BASIN REGIONAL SEWERAGE AUTHORITY

FISCAL YEAR: FROM November 1, 2011 TO October 31, 2012

==== NON-OPERATING REVENUES ====

---INTEREST ON INVESTMENTS--- ---AND DEPOSITS---			(FY 2012) 2011 PROPOSED BUDGET		(FY 2011) 2010 CURRENT YEAR'S ADOPTED BUDGET	
	CROSS REF.					
INVESTMENTS	*	*	\$56,000	*	\$60,000	*
SECURITY DEPOSITS	*	*		*		*
PENALTIES	*	*		*		*
OTHER INVESTMENTS	*	*		*		*
TOTAL INTEREST ON INVESTMENTS & DEPOSITS	*	A-7	\$56,000	*	\$60,000	*
			=====		=====	
---OTHER NON-OPERATING REVENUES---			(FY 2012) 2011 PROPOSED BUDGET		(FY 2011) 2010 CURRENT YEAR'S ADOPTED BUDGET	
	CROSS REF.					
LIST IN DETAIL:						
Application Fees	*	*	\$1,000	*	\$1,000	*
Miscellaneous Revenues	*	*	\$77	*	\$77	*
Interest: State Loan Bonds	*	*	\$4,000	*		*
TBSA Debt Service Credits	*	*	\$613,923	*	\$613,923	*
	*	*		*		*
TOTAL OTHER REVENUES	*	A-8	\$619,000	*	\$615,000	*
			=====		=====	



**2011**  
(PRBRSA FY 2012)  
**AUTHORITY BUDGET**  
SUPPLEMENTAL SCHEDULES

Sewer  
(OPERATION)

PEQUANNOCK RIVER BASIN REGIONAL SEWERAGE AUTHORITY

FISCAL YEAR: FROM November 1, 2011 TO October 31, 2012

===== NON-OPERATING APPROPRIATIONS =====

----RENEWAL &---- --REPLACEMENT RESERVE(S)----			(FY 2012) 2011 PROPOSED BUDGET		(FY 2011) 2010 CURRENT YEAR'S ADOPTED BUDGET
	CROSS REF.				
LIST IN DETAIL:					
	*	*		*	*
	*	*		*	*
	*	*		*	*
	*	*		*	*
TOTAL RENEWAL & REPLACEMENT RESERVE(S)	*	C-1	*	*	*

---OTHER RESERVES---			(FY 2012) 2011 PROPOSED BUDGET		(FY 2011) 2010 CURRENT YEAR'S ADOPTED BUDGET
	CROSS REF.				
LIST IN DETAIL:					
	*	*		*	*
	*	*		*	*
	*	*		*	*
	*	*		*	*
TOTAL OTHER RESERVES	*	C-2	*	*	*

**2011**  
(PRBRSA FY 2012)  
**AUTHORITY BUDGET**  
SUPPLEMENTAL SCHEDULES

Sewer  
(OPERATION)

PEQUANNOCK RIVER BASIN REGIONAL SEWERAGE AUTHORITY

FISCAL YEAR: FROM November 1, 2011 TO October 31, 2012

==== BUDGETED DEBT SERVICE REQUIREMENTS ====

---PRINCIPAL PAYMENTS---			(FY 2012) 2011 PROPOSED BUDGET	(FY 2011) 2010 CURRENT YEAR'S ADOPTED BUDGET
	CROSS REF.			
AUTHORITY NOTES	* P-1 *			
AUTHORITY BONDS	* P-2 *		\$1,092,912	\$1,032,198
CAPITAL LEASES	* P-3 *			
INTERGOVERN. LOANS	* P-4 *			
OTHER OBLIGATIONS (TBSA)	* P-5 *		\$540,250	\$438,355
<b>TOTAL PRINCIPAL PAYMENTS</b>	<b>* D-1 *</b>		<b>\$1,633,162</b>	<b>\$1,470,553</b>
			=====	=====
---INTEREST PAYMENTS---			(FY 2012) 2011 PROPOSED BUDGET	(FY 2011) 2010 CURRENT YEAR'S ADOPTED BUDGET
	CROSS REF.			
AUTHORITY NOTES	* I-1 *			
AUTHORITY BONDS	* I-2 *		\$328,499	\$376,554
CAPITAL LEASES	* I-3 *			
INTERGOVERN. LOANS	* I-4 *			
OTHER OBLIGATIONS (TBSA)	* I-5 *		\$540,250	\$438,356
<b>TOTAL INTEREST PAYMENTS</b>	<b>* D-2 *</b>		<b>\$868,749</b>	<b>\$814,910</b>
			=====	=====

**2011**

(PRBRSA FY 2012)

**Sewer****AUTHORITY BUDGET****(OPERATION)****SUPPLEMENTAL SCHEDULES****PEQUANNOCK RIVER BASIN REGIONAL SEWERAGE AUTHORITY****FISCAL YEAR: FROM November 1, 2011 TO October 31, 2012****5 YEAR DEBT SERVICE SCHEDULE**

<b>PRINCIPAL PAYMENTS</b>	<b>YEARS</b>				
	<b>2011 (FY 12)</b>	<b>2012 (FY 13)</b>	<b>2013 (FY 14)</b>	<b>2014 (FY 15)</b>	<b>2015 (FY 16)</b>
<b>--AUTHORITY NOTES--</b>					
	*				*
	*				*
<b>TOTAL PAYMENTS P-1</b>	*	*	*	*	*
<b>--AUTHORITY BONDS--</b>					
Series C	*	*	*	*	*
Series D	*	*	*	*	*
Series E	*	*	*	*	*
Series F	*	*	*	*	*
Series G	*	*	*	*	*
Series H	*	\$76,192	\$77,205	\$75,254	*
Series I	*	\$109,663	\$117,138	\$119,851	*
Series J	*	\$32,057	\$30,673	\$29,288	\$30,834
Series K	*	\$45,000	\$45,000	\$45,000	\$50,000
Series L	*	\$35,000	\$35,000	\$40,000	\$40,000
Series M (1)	*	\$795,000	\$835,000	\$875,000	\$915,000
<b>TOTAL PAYMENTS P-2</b>	*	\$1,092,912	\$1,140,015	\$1,184,393	\$1,035,834
<b>--AUTHORITY CAPITAL LEASES--</b>					
	*	*	*	*	*
	*	*	*	*	*
	*	*	*	*	*
<b>TOTAL PAYMENTS P-3</b>	*	*	*	*	*
<b>--AUTHORITY INTERGOVERNMENTAL LOANS--</b>					
	*	*	*	*	*
	*	*	*	*	*
	*	*	*	*	*
<b>TOTAL PAYMENTS P-4</b>	*	*	*	*	*
<b>--AUTHORITY OBLIGATIONS (LIST)--</b>					
TBSA Service Contract	*	\$540,250	\$540,250	\$540,250	\$540,250
	*	*	*	*	*
	*	*	*	*	*
<b>TOTAL PAYMENTS P-5</b>	*	\$540,250	\$540,250	\$540,250	\$540,250
<b>TOTAL PRINCIPAL DEBT PAYMENTS SS-6</b>	*	\$1,633,162	\$1,680,265	\$1,724,643	\$1,576,084
	=====	=====	=====	=====	=====

**2011**  
(PRBRSA FY 2012)

**AUTHORITY BUDGET**  
SUPPLEMENTAL SCHEDULES  
PEQUANNOCK RIVER BASIN REGIONAL SEWERAGE AUTHORITY  
FISCAL YEAR: FROM November 1, 2011 TO October 31, 2012

Sewer  
(OPERATION)

INTEREST PAYMENTS		5 YEAR DEBT SERVICE SCHEDULE				
		YEARS				
		2011 (FY 12)	2012 (FY 13)	2013 (FY 14)	2014 (FY 15)	2015 (FY 16)
-----						
--AUTHORITY NOTES--						
	*		*	*	*	*
	*		*	*	*	*
-----						
TOTAL PAYMENTS I-1						
-----						
--AUTHORITY BONDS--						
Series C	*		*	*	*	*
Series D	*		*	*	*	*
Series E	*		*	*	*	*
Series F	*		*	*	*	*
Series G	*		*	*	*	*
Series H	*		*	*	*	*
Series I	*	\$26,658	\$21,067	\$14,801	*	*
Series J	*		*	*	*	*
Series K	*	\$9,713	\$7,350	\$4,988	\$2,625	*
Series L	*	\$29,504	\$27,421	\$25,190	\$22,800	\$20,500
Series M (1)	*	\$262,625	\$221,875	\$179,125	\$134,375	\$87,375
-----						
TOTAL PAYMENTS I-2	*	\$328,499	\$277,713	\$224,103	\$159,800	\$107,875
-----						
--AUTHORITY CAPITAL LEASES--						
	*		*	*	*	*
	*		*	*	*	*
	*		*	*	*	*
-----						
TOTAL PAYMENTS I-3						
-----						
--AUTHORITY INTERGOVERNMENTAL LOANS--						
	*		*	*	*	*
	*		*	*	*	*
	*		*	*	*	*
-----						
TOTAL PAYMENTS I-4						
-----						
--AUTHORITY OBLIGATIONS (LIST)--						
TBSA Service Contract	*	\$540,250	\$540,250	\$540,250	\$540,250	\$540,250
	*		*	*	*	*
	*		*	*	*	*
-----						
TOTAL PAYMENTS I-5	*	\$540,250	\$540,250	\$540,250	\$540,250	\$540,250
-----						
TOTAL INTEREST						
DEBT PAYMENTS SS-6	*	\$868,749	\$817,963	\$764,353	\$700,050	\$648,125
		=====	=====	=====	=====	=====

**PEQUANNOCK RIVER BASIN REGIONAL SEWERAGE AUTHORITY**

**AUTHORITY BUDGET  
SUPPLEMENTAL SCHEDULES**

**(OPERATION)**

**FISCAL YEAR: FROM November 1, 2011 to October 31, 2012**

<b>(1) PY UNRESTRICTED NET ASSETS</b>	PY AUDIT	*	*	\$658,926	*
---------------------------------------	----------	---	---	-----------	---

**ADJUSTMENTS DURING CURRENT YEAR**

(a) EST. NET INCOME OR (LOSS) ON CURRENT YEAR'S RESULTS OF OPERATIONS	*	*	*	\$270,000	
(Include unbudgeted use of unrestricted net assets)					

(b) ADJUSTMENTS: OTHER (Attach list):	*	*			
---------------------------------------	---	---	--	--	--

<b>(2) SUBTOTAL - ADJUSTMENTS</b>	<b>(ADD AMOUNTS ON LINES a-b)</b>		*	\$270,000	*
-----------------------------------	-----------------------------------	--	---	-----------	---

<b>(3) ADD LINES 1 AND 2</b>			*	\$928,926	*
------------------------------	--	--	---	-----------	---

**CURRENT YEAR ESTIMATED CHANGES IN RESTRICTIONS**

**(attach documentation)**

**INC./(DEC.)**

(c) DEBT SERVICE	*	*			
(d) MAINTENANCE RESERVE	*	*			
(e) OPERATING REQUIREMENT	*	*			
(f) OTHER LEGAL RESERVATIONS	*	*			

<b>(4) SUB-TOTAL - RESTRICTIONS</b>	<b>(ADD AMOUNTS ON LINES c-f)</b>		*		*
-------------------------------------	-----------------------------------	--	---	--	---

**DESIGNATIONS (attach documentation)**

(g) NON-OPERATING IMPROVEMENTS & REPAIRS (CB-4&5)	*	*			
(h) CONTRIBUTION TO RATE STABILIZATION PLAN (#)	*	*			
(i) OTHER BOARD DESIGNATION	*	*			
(j) ADJUSTMENTS /OTHER (Attach list):	*	*			

<b>(5) SUBTOTAL - DESIGNATIONS</b>	<b>(ADD AMOUNTS ON LINES g-i)</b>		*		*
------------------------------------	-----------------------------------	--	---	--	---

<b>(6) ADD LINES 4 and 5</b>			*		*
------------------------------	--	--	---	--	---

<b>(7) UNRESTRICTED NET ASSETS AVAILABLE FOR USE IN PROPOSED BUDGET</b>				\$928,926	*
<b>(SUBTRACT LINE 6 FROM LINE 3)</b>					

**PROPOSED UTILIZATION OF AVAILABLE UNRESTRICTED NET ASSETS**

<b>(8) AS REVENUE IN ANNUAL BUDGET (PAGE 6, LINE R-3b)</b>	*	*			
<b>(9) FOR CURRENT YEAR CAPITAL BUDGET (PAGE CB-3)</b>	*	*		\$325,000	
<b>(10) SUBTOTAL - U/R NET ASSETS UTILIZED</b>	<b>(ADD AMOUNTS ON LINES 8-9)</b>		*	\$325,000	*

<b>(11) MAXIMUM ALLOWABLE FOR APPROPRIATION TO MUNICIPALITY/COUNTY</b>					
(Budget Item B-2 times 5%)	\$ _____				

<b>(12) AS APPROPRIATED TO MUNICIPALITY/COUNTY (PAGE 6, LINE R-3a)</b>			*		*
--	--	--	---	--	---

<b>(13) TOTAL UNRESTRICTED/UNDESIGNATED NET ASSETS</b>			*	\$603,926	*
<b>(SUBTRACT LINES 10 AND 12 FROM LINE 7)</b>					

**973-285-9058/973-285-0944**  
**Phone #/ Fax#**

CERTIFIED BY:   
Daniel D. Kelly, Consulting Engineer